



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tanzer

Docket No.: A01199A

Application No.: 10/025,706

Group No.: 1714

Filed: December 26, 2002

Examiner: Niland, Patrick

Dennis

For: Method of Improving Viscosity Stability Upon Addition of a Colorant Component

**REQUEST TO CORRECT INVENTORSHIP UNDER 37 CFR 1.48(a)**

Statement of Lack of Deceptive Intent for Inventor Dennis Paul Lorah.

I, Dennis Paul Lorah, state that the inventorship error in the above-identified application occurred without deceptive intention on my part.

A handwritten signature in black ink that reads "Dennis Paul Lorah".

Dennis Paul Lorah



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re application of:** Tanzer et al.

**Docket No.:** A01199a

**Application No.:** 10/025,706

**Group No.:** 1714

**Filed:** December 26, 2001

**Examiner:** Niland, Patrick Dennis

**For:** Method of Improving Viscosity Stability upon Addition of A Colorant Component

**REQUEST TO CORRECT INVENTORSHIP UNDER 37 CFR 1.48(a)**

Commissioner for Patents

Alexandria, VA. 22313-1450

Sir:

Applicants respectfully request a correction to the inventorship in the above-identified patent application under 37 CFR 1.48(a). In particular, the amendment of the inventorship requires the addition of the following person as an inventor:

Dennis Paul Lorah

The inventor, Dennis Paul Lorah has received a true copy of the instant specification, and has read and understands the instant specification.

Enclosed, please find:

1. A Statement from Mr. Lorah stating that the error in inventorship occurred without any deceptive intention on his part.
2. A Declaration and Power Of Attorney by inventor Dennis Paul Lorah as required by § 1.63.
3. The processing fee (in duplicate) of \$130 as set forth in § 1.17(i).

02/04/2004 WABDELR1 00000044 181850 10025706

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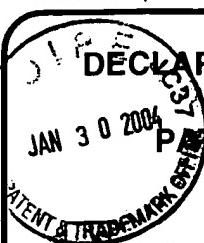
Respectfully submitted,

Andrew E. C. Merriam  
Attorney for Applicants  
Registration No. 47,268  
Telephone (215) 592-6758

Date: January 20, 2004  
ROHM AND HAAS COMPANY  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
Telephone : (215) 592-6758

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**



Declaration  
Submitted  
with Initial  
Filing

OR

Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

|                               |  |                        |
|-------------------------------|--|------------------------|
| <b>Attorney Docket Number</b> |  | A01199A                |
| <b>First Name of Inventor</b> |  | Joseph David Tanzer    |
| <b>COMPLETE IF KNOWN</b>      |  |                        |
| <b>Application Number</b>     |  | 10/025,706             |
| <b>Filing Date</b>            |  | December 26, 2001      |
| <b>Art Unit</b>               |  | 1714                   |
| <b>Examiner Name</b>          |  | Niland, Patrick Dennis |

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD OF IMPROVING VISCOSITY STABILITY UPON ADDITION OF A COLORANT COMPONENT**

*(Title of the Invention)*

the specification of which

is attached hereto

OR

was filed on (MM/DD/YYYY) 12/26/01 as United States Application Number or PCT International

Application Number 10/025,706 and was amended on (MM/DD/YYYY)   (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeders rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed   | Certified Copy Attached? YES   | Certified Copy Attached? NO  |
|-------------------------------------|---------|----------------------------------|--|--|--|
|                                     |         |                                  | <input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> | <input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> | <input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> |

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 1]

**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to:  Customer Number  
or Bar Code Label 21898 OR  Correspondence address below

Name

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City

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Country

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR



A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any])

Joseph David

Family Name Tanzer  
or SurnameInventor's  
Signature1/12/04  
Date

Residence: City

Fort Washington

State

USA

US  
Citizenship

Mailing Address

410 Madison Avenue

City

Fort Washington

State

PA

ZIP

19034

USA  
Country

NAME OF SECOND INVENTOR:



A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any])

Jerome Michael

Family Name Harris  
or SurnameInventor's  
Signature1/22/04  
Date

Residence: City

Penllyn

State

PA

US  
Country

Mailing Address

1101 Township Line Road

City

Penllyn

State

PA

ZIP

19422

USA  
Country

Additional inventors are being named on the 3 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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**DECLARATION****ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page 3 of 3**

|   |                           |   |                |
|---|---------------------------|---|----------------|
| <b>Name of Additional Joint Inventor, if any:</b> |                           | <input type="checkbox"/> A petition has been filed for this unsigned inventor |                |
| Given Henry Jude<br>Name                          |                           | Family Name Eichman<br>or Surname   |                |
| Inventor's<br>Signature                           | <i>Henry Jude Eichman</i> |   | Date 1/23/04   |
| Residence: City Havertown                         | State PA                  | Country USA   | Citizenship US |
| <b>Mailing Address</b>                            |                           |   |                |
| Mailing Address 45 Fairfield Road                 |                           |   |                |
| City Havertown                                    | State PA                  | ZIP 19083   | Country USA    |
| <b>Name of Additional Joint Inventor, if any:</b> |                           | <input type="checkbox"/> A petition has been filed for this unsigned inventor |                |
| Given Homayoun<br>Name                            |                           | Family Name Jamasbi<br>or Surname   |                |
| Inventor's<br>Signature                           | <i>Homayoun Jamasbi</i>   |   | Date 1/23/04   |
| Residence: City Blue Bell                         | State PA                  | Country USA   | Citizenship US |
| <b>Mailing Address</b>                            |                           |   |                |
| Mailing Address 1207-A Skippack Pike              |                           |   |                |
| City Blue Bell                                    | State PA                  | ZIP 19422   | Country USA    |
| <b>Name of Additional Joint Inventor, if any:</b> |                           | <input type="checkbox"/> A petition has been filed for this unsigned inventor |                |
| Given Dennis Paul<br>Name                         |                           | Family Name Lorah<br>or Surname   |                |
| Inventor's<br>Signature                           | <i>Dennis Paul Lorah</i>  |   | Date 1/23/04   |
| Residence: City Lansdale                          | State PA                  | Country USA   | Citizenship US |
| <b>Mailing Address</b>                            |                           |   |                |
| Mailing Address 1692 Heebner Way                  |                           |   |                |
| City Lansdale                                     | State PA                  | ZIP 19446   | Country        |

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PTO/SB/81 (02-01)

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

JAN 30 2004  
U.S. PATENT & TRADEMARK OFFICE  
LCS

|                        |                                  |
|------------------------|----------------------------------|
| Application Number     | 10/025,706                       |
| Filing Date            | December 26, 2001                |
| First Named Inventor   | Joseph D. Tanzer                 |
| Title                  | Method of Improving Viscosity... |
| Group Art Unit         | 1714                             |
| Examiner Name          | Niland, Patrick Dennis           |
| Attorney Docket Number | A01199A                          |

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State

Zip

Country

Telephone

Fax

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name      Joseph David Tanzer

Signature

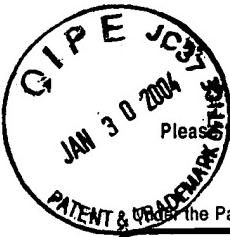
Date

1/22/04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 5 forms are submitted.

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|                        |                                  |
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| Examiner Name          | Niland, Patrick Dennis           |
| Attorney Docket Number | A01199A                          |

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

Jerome Michael Harris

Signature

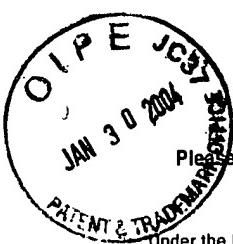
Date

1-22-04

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| First Named Inventor   | Joseph. D. Tanzer....            |
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| Examiner Name          | Niland, Patrick Dennis           |
| Attorney Docket Number | A01199A                          |

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|---|-------|-----|--|
| <input type="checkbox"/> Firm or<br>Individual Name |       |     |  |
| Address   |       |     |  |
| Address   |       |     |  |
| City  | State | Zip |  |
| Country   |       |     |  |
| Telephone   | Fax   |     |  |

I am the:

- Applicant/Inventor.  
 Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

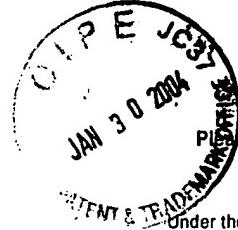
### SIGNATURE of Applicant or Assignee of Record

|           |                    |
|-----------|--------------------|
| Name      | Henry Jude Eichman |
| Signature |                    |
| Date      | 1/23/04            |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 5 forms are submitted.

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| Application Number     | 10/025,706                       |
| Filing Date            | December 26, 2001                |
| First Named Inventor   | Joseph D. Tanzer                 |
| Title                  | Method of Improving Viscosity... |
| Group Art Unit         | 1714                             |
| Examiner Name          | Niland, Patrick Dennis           |
| Attorney Docket Number | A01199A                          |

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Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name      Homayoun Jamasbi

Signature

Date

1/23/04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 5 forms are submitted.

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|                        |                                  |
|------------------------|----------------------------------|
| Application Number     | 10/025,706                       |
| Filing Date            | December 26, 2001                |
| First Named Inventor   | Joseph D. Tanzér                 |
| Title                  | Method of Improving Viscosity... |
| Group Art Unit         | 1714                             |
| Examiner Name          | Niland, Patrick Dennis           |
| Attorney Docket Number | A01199A                          |

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Individual Name

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City

State

Zip

Country

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Fax

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

|           |                   |
|-----------|-------------------|
| Name      | Dennis Paul Lorah |
| Signature |                   |
| Date      | 1/23/04           |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 5 forms are submitted.

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1714  
3

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 130.00)

## Complete if Known

|                      |                        |
|----------------------|------------------------|
| Application Number   | 10/025,706             |
| Filing Date          | 12/26/01               |
| First Named Inventor | Tanzer et al           |
| Examiner Name        | Niland, Patrick Dennis |
| Art Unit             | 1714                   |
| Attorney Docket No.  | A01199A                |

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

18-1850

Rohm and Haas Company

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity             | Small Entity  | Fee Description        | Fee Paid |
|--------------------------|---------------|------------------------|----------|
| Fee Code (\$)            | Fee Code (\$) |                        |          |
| 1001 770                 | 2001 385      | Utility filing fee     |          |
| 1002 340                 | 2002 170      | Design filing fee      |          |
| 1003 530                 | 2003 265      | Plant filing fee       |          |
| 1004 770                 | 2004 385      | Reissue filing fee     |          |
| 1005 160                 | 2005 80       | Provisional filing fee |          |
| <b>SUBTOTAL (1) (\$)</b> |               |                        |          |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
|              |                    |                    | -20** =      | X              | =        |
|              |                    |                    | - 3** =      | X              | =        |
|              |                    |                    |              |                |          |

| Large Entity             | Small Entity  | Fee Description  |
|--------------------------|---------------|--|
| Fee Code (\$)            | Fee Code (\$) |  |
| 1202 18                  | 2202 9        | Claims in excess of 20                                     |
| 1201 86                  | 2201 43       | Independent claims in excess of 3                          |
| 1203 290                 | 2203 145      | Multiple dependent claim, if not paid                      |
| 1204 86                  | 2204 43       | ** Reissue independent claims over original patent         |
| 1205 18                  | 2205 9        | ** Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2) (\$)</b> |               |  |

\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$)                                   | Fee Code (\$) | Fee Description  | Fee Paid |
|---|---------------|--|----------|
| 1051 130  | 2051 65       | Surcharge - late filing fee or oath  |          |
| 1052 50   | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130  | 1053 130      | Non-English specification  |          |
| 1812 2,520                                      | 1812 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804 920*                                       | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*                                     | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251 110  | 2251 55       | Extension for reply within first month                                     |          |
| 1252 420  | 2252 210      | Extension for reply within second month                                    |          |
| 1253 950  | 2253 475      | Extension for reply within third month                                     |          |
| 1254 1,480                                      | 2254 740      | Extension for reply within fourth month                                    |          |
| 1255 2,010                                      | 2255 1,005    | Extension for reply within fifth month                                     |          |
| 1401 330  | 2401 165      | Notice of Appeal   |          |
| 1402 330  | 2402 165      | Filing a brief in support of an appeal                                     |          |
| 1403 290  | 2403 145      | Request for oral hearing   |          |
| 1451 1,510                                      | 1451 1,510    | Petition to institute a public use proceeding                              |          |
| 1452 110  | 2452 55       | Petition to revive - unavoidable   |          |
| 1453 1,330                                      | 2453 665      | Petition to revive - unintentional   |          |
| 1501 1,330                                      | 2501 665      | Utility issue fee (or reissue)   |          |
| 1502 480  | 2502 240      | Design issue fee   |          |
| 1503 640  | 2503 320      | Plant issue fee  |          |
| 1460 130  | 1460 130      | Petitions to the Commissioner  |          |
| 1807 50   | 1807 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180  | 1806 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021 40   | 8021 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809 770  | 2809 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 770  | 2810 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 770  | 2801 385      | Request for Continued Examination (RCE)                                    |          |
| 1802 900  | 1802 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) Request to correct Inventor |               |  | 130.00   |

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)** 130.00

| SUBMITTED BY         |           | (Complete if applicable)             |              |          |
|----------------------|-----------|--------------------------------------|--------------|----------|
| Name (Print/Type)    | Signature | Registration No.<br>(Attorney/Agent) | Telephone    | Date     |
| Andrew E. G. Merriam |           | 47,268                               | 215-592-6758 | 01/28/04 |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re application of:** Tanzer et al.

**Docket No.:** A01199a

**Application No.:** 10/025,706

**Group No.:** 1714

**Filed:** December 26, 2001

**Examiner:** Niland, Patrick Dennis

**For:** Method of Improving Viscosity Stability upon Addition of A Colorant Component

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Request to Correct Inventorship under 37 CFR 1.48(a)  
Statement from New Inventor Dennis Paul Lorah  
Declaration and Power of Attorney  
Fee Sheet  
Return Receipt Postcard

Jun. 28, 2004  
Date

Shelley Dalney  
Signature